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PTO/SB/05 (8/05)

Approved for use through 10/31/2002. OMB 0651-0032

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 03169-P0003B

First Inventor Vincent P. Annunziata

Title Trading Simulation

Express Mail Label No. EL574204438US

APPLICATION ELEMENTS
See MPEP chapter 600 concerning utility patent application contents

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)

2. Applicant claims small entity status
See 37 CFR 1.27

3. Specification [Total Pages 20]
(preferred arrangement set forth below)

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claims(s)
- Abstract of the Disclosure

4. Drawings(s) (35 USC 113) Total Sheets 6

5. Oath or Declaration Total Pages 2

a. New executed (original or copy)

b. Copy from prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)

DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b)

6. Application Data Sheet. See 37 CFR 1.76

ADDRESS TO Assistant Commissioner for Patents
Box Patent Application
Washington, DC 202317. CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)a. Computer Readable Form (CRF)

b. Specification Sequence Listing on.

i. CD-ROM or CD-R (2 copies); or

ii. paper

c. Statement verifying identity of above copies**ACCOMPANYING APPLICATION PARTS**9. Assignment Papers (cover sheet & document(s))10. 37 CFR 3.73(b) Statement Power of Attorney11. English Translation Document (if applicable)12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations13. Preliminary Amendment14. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)15. Certified Copy of Priority Document(s)
(if foreign priority is claimed)16. Other.

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76

 Continuation Divisional Continuation-in-part of prior application No

Prior application information Examiner Group/Art Unit

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS Customer Number of Bar Code Label

24126

 Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name	Gene S. Winter			
Address	St. Onge Steward Johnston & Reens LLC 986 Bedford Street			
City	Stamford	State	CT	Zip Code
Country	United States	Telephone	203 324-6155	Fax
Name (Print/Type)		Registration No. (Attorney/Agent)		28,352
Signature				Date 1/18/01

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT (\$ 355.00)

Complete if Known	
Application No.	Pending
Filing Date	January 18, 2001
First Named Inventor	Vincent P. Annunziata
Examiner Name	
Group Art Unit	09/164538

01/18/01

Attorney Docket Number 03169- P0003B GSW/TMO

METHOD OF PAYMENT (check one)				FEE CALCULATION (continued)																																																																																																																																																																																									
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payment to Deposit Account Number 19-4516 Deposit Account Name St Onge Steward Johnston & Reens LLC <input checked="" type="checkbox"/> Charge Any Additional Fee Required 37 CFR 1.16 and 1.17 <input checked="" type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27				3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge – late filing for or oath</td><td></td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge – late provisional filing or cover sheet</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for <i>ex parte</i> reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840</td><td>Requesting publication of SIR after Examiner Action</td><td></td></tr> 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Name (Print Type)	Gene S. Winter			Registration No (Attorney/Agent)	28,352	Telephone	203 324-6155																																																																																																																																																																																						
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